

# About the F5 application form

## Application for security for payment of costs

### Who can use this form

Use this form if:

- you are an applicant or a respondent in an unfair dismissal matter, and
- you are seeking security for costs in respect of the matter or part of the matter before the unfair dismissal matter is determined by the Commission.

## **About security for costs**

An order for security for costs can only be made in unfair dismissal matters. An order for security for costs is an order from the Fair Work Commission (the Commission) that an amount for costs be paid when a matter is before the Commission (s. 404 of the <u>Fair Work Act 2009</u>; rule 67 of the <u>Fair Work Commission Rules 2024</u>). The Commission will only award security for costs once the Commission has balanced the merits of the application, the financial position of the parties, and what is just in the circumstances (Zornada v St John Ambulance Australia (Western Australia) Inc [2013] FWCFB 8255 at [36]).

In considering whether security for costs should be ordered, the Commission will look at factors such as the financial position of the party against whom the order is sought, the prospects of success and strength of the case of the party resisting the order, the prospects of a costs order being made even if the party seeking the order for security for costs is successful, whether a costs order will be satisfied if made, whether a party will be or will be likely to be absent from the jurisdiction when a decision is made and has no or few assets in the jurisdiction, whether the proceedings raise matters of general importance, whether the hearing of the proceedings is close at hand, and any delay in bringing the application (Chen v Monash University [2015] FWC 3888 at [6] per Hatcher VP).

If an order for security for costs is made against a person, that person will be required to pay the amount of the security as instructed by the order (r.55(2)).

An order for security for costs will not usually be made before a matter has been through the Commission's conciliation process.

### Lodging and serving your completed form

- Lodge your application and any supporting documents with the Commission.
   Lodge by email, post, or in person at the <u>Commission's office</u> in your state or territory.
- 2. **Serve a copy** of this application on the person against whom you are seeking an order **as soon as practicable** after you lodge your application with the Commission.

You can serve documents several ways, including by email, express post or registered post. An easy way to do this is to copy the person and/or organisation you are serving into the email you send to the Commission lodging your application.

## Where to get help

#### Commission staff & resources

Commission staff cannot provide legal advice. However, staff can give you information on:

- processes in the Commission
- how to make an application to the Commission
- how to fill out forms
- where to find useful documents such as legislation and decisions
- other organisations that may be able to assist you.

The Commission's website <a href="www.fwc.gov.au">www.fwc.gov.au</a> also contains a range of information that may assist.

### Throughout this form



This icon appears throughout the form. It indicates information to help you answer the question following.

#### Legal or other representation

Representation is where another person (such as a lawyer or paid agent, or an employee of a union or employer organisation) speaks or acts on a person's behalf, or assists a person in certain other ways in relation to a matter before the Commission. There is no requirement to be represented at the Commission.

There are some restrictions on representation by a lawyer or paid agent.

Generally, a person must give notice to the Commission (by lodging a Form F53 – Notice that a person: (a) has a lawyer or paid agent; or (b) will seek permission for lawyer or paid agent to participate in a conference or hearing) and seek permission from the Commission Member dealing with the matter if they wish to have a lawyer or paid agent represent them by participating in a conference or a hearing.

Apart from participating in a conference or hearing, a person's lawyer or paid agent can represent them without permission, unless the Commission decides otherwise. For example, the lawyer or paid agent can prepare and lodge written applications, responses and submissions with the Commission, and communicate in writing with the Commission and other parties to the matter on the person's behalf.

The requirement to give notice and seek permission for a lawyer or paid agent to participate in a conference or hearing, does not apply if the lawyer or paid agent is:

- an employee or officer of the person or
- a bargaining representative that is representing the person or
- an employee or officer of an employee or employer organisation, or an association of employers or a peak council, that is representing the person.

Rule 13(2) of the <u>Fair Work Commission Rules 2024</u> sets out further exceptions to the requirement to give notice and seek permission.

For more information about representation by lawyers and paid agents, see section 596 of the <u>Fair Work Act 2009</u>, rules 11, 12, 13 and 14 of the <u>Fair Work Commission Rules 2024</u> and the Commission's practice note on representation by lawyers and paid agents.

## Glossary of common terms

**Applicant** – This is the person or organisation that is making an application.

**Lawyer** – This is a person who is admitted to the legal profession by a Supreme Court of a State or Territory.

**Paid agent** – in relation to a matter before the Commission, is an agent (other than a bargaining representative) who charges or receives a fee to represent a person in the matter.

**Party** – A party is a person or organisation involved in a matter or case that is brought to the Commission.

Respondent – The person or business responding to an application made by an Applicant.

**Service** – Serving a document means giving a copy of the document to a person or organisation, usually to the other party to the matter. You can serve a document in a number of ways, including by email, express or registered post, or in person. Part 5 of Chapter 1 and Schedule 1 of the *Fair Work Commission Rules 2024* deal with service.

### **Privacy**

The Commission collects the information (including personal information) provided to it in this form for inclusion on the case file, and may disclose this information to the other parties to this matter and to other persons. For more details of the Commission's collection, use and disclosure of this information, please see the <u>Privacy notice</u> for this form, or ask for a hard copy to be provided to you.



Remove this cover sheet and keep it for future reference – it contains useful information

## Form F5 – Application for security for payment of costs

Fair Work Act 2009, s.404, Fair Work Commission Rules 2024, rule 67 and Schedule 1

This is an application to the Fair Work Commission for security for costs in an unfair dismissal matter in accordance with Part 3-2 of the *Fair Work Act 2009*.

## Party applying for security of costs

		natter that this application relat	
Title	☐ Mr ☐ Mrs ☐ Ms ☐ O	ther please specify:	
First name(s)			
Surname			
Postal address			
Suburb			
State or territory		Postcode	
Phone number			
Email address			
f the party applying for he following details	or security for costs is a b	usiness or organisation please	e also provid
Legal name of business organisation	or		
Trading name of busine or organisation	SS		
ABN/ACN			

Contact person

How would you prefer us to	o communicate with you	?	
☐ Email (you will need to mak	e sure you check your ema	il account regul	arly)
□ Post			
Do you have a represen	ntative?		
/ L \ ·	person or organisation whon or employer association.		g you. This might be a lawyer uired to have a
☐ Yes – Provide representativ	e's details below		
□ No			
<b>Note:</b> if you have provided det changed, you do not need to p	· ·	the Commission	and the details have not
Your representative			
These are the detail costs (if any).	ls of the person or organisa	tion who is rep	resenting you for security for
Name of person			
Firm, organisation, company			
Postal address			
Suburb			
State or territory		Postcode	
Phone number			
Email address			
Is your representative a law	vyer or paid agent?		
☐ Yes – please select:	☐ Lawyer		
	☐ Paid agent		
□ No			

# Respondent for security for costs

application is ma	ade.		
Title	☐ Mr ☐ Mrs ☐ Ms ☐ Other please specify:		
First name(s)			
Surname			
Postal address			
Suburb			
State or territory		Postcode	
Phone number			
Email address			
Does the Respondent fo	r security for costs have a re	epresentative?	
be a lawyer or pai		o is representing the Respondent. This migon a union or employer association. Ther	
☐ Yes – Provide representa	ative's details below		
□ No			
Respondent for security	for costs' representative		
These are the deformable for security for contractions.	-	ation who is representing the Respondent	
Name of person			
Organisation			

These are the details of the person or business against whom the security for costs

Postal address		
Suburb		
State or territory	Pos	ostcode
Phone number		
Email address		
<ol> <li>Commission matter that this application relates to</li> <li>Please provide the details of the unfair dismissal matter in which you are seeking security for costs.</li> <li>You will find all of these details on the F2 – Unfair Dismissal Application you received from the Commission.</li> </ol>		
Commission matter number		
Applicant in unfair dismissal matter		

2.	Grounds for the order	
2.1 Please set out the grounds on which the application for security for costs is based in numbered paragraphs.		
3.	Amount of the order sought	
3.1	Please set out the amount of the order sought below.	
	You will need to complete a schedule of costs setting out each item that you wish to claim	
	in costs against the other party when you submit the <u>Form F6 – Application for costs</u> .	
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# Authority to sign and signature



For 'Authority to sign':

- If you are the Applicant seeking security for payment for costs-insert 'Applicant'
- If you are an employee of a company or organisation that is the Applicant seeking security for payment for costs—insert your position title
- If you are the representative of the Applicant seeking security for payment for costs and have provided your details in this form—insert 'Representative'.

Authority to sign
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Insert your signature, name and the date. If you are completing this form electronically and do not have an electronic signature, type your name in the signature field.

Signature	
Name	
Date	

PLEASE RETAIN A COPY OF THIS FORM FOR YOUR OWN RECORDS